



Change of Broker/Dealer

Date: ___/___/___

Account Type: EQUITY INSURANCE ANNUITY

Product Company: _____

Accountholder (s) Name/Entity Name: _____

Accountholder Address: _____

Client Phone Number: _____

Client Social Security Number: _____ - _____ - _____

Contract/Account Number(s):

--	--	--	--

****Please attach a copy of the client's most recent statement with this request****

Please be advised that we have changed our Investment Advisor to:

Investment Executive Signature: _____ Advisor SSN: _____ - _____ - _____ Rep Code: _____

Investment Executive Print Name: _____ Branch: _____

Client Signature: _____ Joint Owner Signature: _____

General Investment Knowledge/Experience: Limited Moderate Extensive None

Financial Information: Check box if aggregated with other joint tenants.

Annual Income	Net Worth	Liquid Net Worth	Tax Bracket	Annual Expenses
<input type="checkbox"/> \$25,000 and under	<input type="checkbox"/> \$25,000 and under	<input type="checkbox"/> \$25,000 and under	<input type="checkbox"/> 0 – 15%	<input type="checkbox"/> \$50,000 and under
<input type="checkbox"/> \$25,001 - \$50,000	<input type="checkbox"/> \$25,001 - \$50,000	<input type="checkbox"/> \$25,001 - \$50,000	<input type="checkbox"/> 15.1% – 32%	<input type="checkbox"/> \$50,001 - \$100,000
<input type="checkbox"/> \$50,001-100,000	<input type="checkbox"/> \$50,001-\$200,000	<input type="checkbox"/> \$50,001-\$200,000	<input type="checkbox"/> 32.1% – 50%	<input type="checkbox"/> \$100,001-\$250,000
<input type="checkbox"/> \$100,001-\$250,000	<input type="checkbox"/> \$200,001-\$500,000	<input type="checkbox"/> \$200,001-\$500,000	<input type="checkbox"/> 50.1% +	<input type="checkbox"/> \$250,001-\$500,000
<input type="checkbox"/> \$250,001-\$500,000	<input type="checkbox"/> \$500,001-\$1,000,000	<input type="checkbox"/> \$500,001-\$1,000,000		<input type="checkbox"/> Over \$500,001
<input type="checkbox"/> Over \$500,000	<input type="checkbox"/> Over \$1,000,001	<input type="checkbox"/> Over \$1,000,001		

OBJECTIVE AND INVESTMENT DETAILS

Risk Exposure:	<input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> Speculation <input type="checkbox"/> High Risk
Account Investment Objectives:	<input type="checkbox"/> Income <input type="checkbox"/> Long-Term Growth <input type="checkbox"/> Short-Term Growth
Time Horizon:	<input type="checkbox"/> Liquidity Needs <input type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low

Customer Initials	Pri:		Co:		FOR ALL ACCOUNTS
<ul style="list-style-type: none"> ▪ I/We have received the Infinex Financial Group Notice of Privacy Policies ▪ I/We understand my/our investment is being transferred to Infinex Investments, Inc. (Infinex) a registered broker/dealer and a licensed insurance agency. Although Infinex offers services on the premises of the Bank, it is a separate company and not affiliated with the Bank. ▪ I/We understand that this investment may not be appropriate for short-term objectives. ▪ I/We understand that I/we can surrender my/our investment at any time, but because of sales charges or market conditions; I/we may receive more or less than my/our original investment. ▪ I/We understand that past performance does not guarantee future results. ▪ I/We understand that bank employees may receive a fee from the bank if they referred me to Infinex (if applicable) ▪ I/We understand that my non-deposit investment product is subject to risk, including the possible loss of value. ▪ I/We understand that in addition to any standard sales loads and charges, Infinex may receive a marketing allowance or other compensation from the mutual fund family or insurance company as a result of my/our investment. Investment representatives are not told which mutual fund families or insurance companies pay this compensation to Infinex, and the Investment representative does not receive a share of these payments. 					



Infinex Investments, Inc.

Infinex Financial Group | Meriden, CT | Midlothian, VA | 800.218.2827 | www.infinexgroup.com
 Securities offered through INFINEX INVESTMENTS, INC., member [FINRA/SIPC](#).